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Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PA 30573  
First Inventor or Application Identifier Hans-Peter Wild et al  
Title APPARATUS FOR APPLYING DRINKING STRAWS  
Express Mail Label No. EL334183045US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 7]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
- Oath or Declaration In Blank [Total Pages 4]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 8 below)
  - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered to be part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - ☐ Computer Readable Copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  - \* Small Entity
- ☐ Statement(s) ☐ Statement filed in prior application,  
(PTO/SB/08-12) Status still proper and desired
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☒ Other: General Authorization...  
Claim to Priority

\* NOTE FOR ITEMS 1 & 12: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.57), EXCEPT  
IF ONE FILED BY A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.58).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_
- Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label \_\_\_\_\_ or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Richard B. Hoffman				
	Tilton, Fallon, Lungmus & Chestnut				
Address	100 South Wacker Drive				
	Suite 960				
City	Chicago	State	IL	Zip Code	60606
Country	USA	Telephone	312/456-8000	Fax	312/456-7776

Name (Print/Type)	Richard B. Hoffman	Registration No. (Attorney/Agent)	26,910
Signature	<i>Richard B. Hoffman</i>	Date	Oct 17, 2000

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Marie Lentz

(Typed or printed name of person mailing paper or fee)

*Marie Lentz*  
(Signature of person mailing paper or fee)

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-06-12, See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 980.00

Complete if Known

Application Number  
Filing Date  
First Named Inventor Hans-Peter Wild et al  
Examiner Name  
Group / Art Unit  
Attorney Docket No. PA 30573

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 20-1111  
Deposit Account Name Tilton, Fallon et al

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description	Fee Paid
101 795	201 395	Utility filing fee	710
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(S) 710.00

### 2. EXTRA CLAIM FEES

Total Claims 4  
Independent Claims 1  
Multiple Dependent Claims 3  
Extra Claims 0  
Fee from before 18  
Fee Paid 270

or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description	Fee Paid
103 202	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 4	
104 270	204 135	Multiple dependent claims, if not paid	
108 82	208 41	Reissue independent claims over original patent	
110 22	210 11	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(S) 980.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or cash	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
138 130	138 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 820*	112 820*	Requesting publication of SAR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SAR after Examiner action	
116 110	216 55	Extension for reply within first month	
118 400	218 200	Extension for reply within second month	
117 980	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,080	228 1,030	Extension for reply within 15th month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
136 1,510	136 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 480	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 80	123 80	Petitions related to provisional applications	
128 240	128 240	Submission of Information Disclosure Sheet	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 750	246 375	Filing a submission after final rejection (37 CFR 1.123(a))	
146 750	246 375	For each additional invention to be examined (37 CFR 1.123(b))	

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (S) 980.00

## SUBMITTED BY

Typed or Printed Name Richard B. Hoffman

Signature Richard B. Hoffman

Date Oct 17, 2000

## Complete if applicable

Reg. Number 26,910

Deposit Account User ID 20-1111

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PATENT

1c813 U.S. PTO  
09/690409  
10/17/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hans-Peter Wild et al )  
Serial No. )  
Filed: )  
For: APPARATUS FOR APPLYING )  
DRINKING STRAWS )

GENERAL AUTHORIZATION UNDER 37 CFR 1.136(a)(3)

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Applicants hereby request and authorize that any future reply concerning this patent application requiring a petition for an extension of time under 37 CFR 1.136 for its timely submission be treated as incorporating a petition for extension of time for the appropriate length of time.

Respectfully submitted,

Hans-Peter Wild et al, Applicants

Date: Oct. 17, 2000

By Richard B. Hoffman  
Richard B. Hoffman  
Reg. No. 26,910  
Attorney for Applicants

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Telephone: 312/456-8000

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